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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/664,142	09/17/2003	Nathaniel P. Langford	54493US011	3488
32692	7590 10/05/2004		EXAMINER	
3M INNOVATIVE PROPERTIES COMPANY			EGWIM, KELECHI CHIDI	
PO BOX 33427 ST. PAUL, MN 55133-3427			ART UNIT -	PAPER NUMBER
,			1713	
			DATE MAILED: 10/05/2004	4

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
Office Action Summary	10/664,142	LANGFORD, NATHANIEL P.				
omec Action Guinnary	Examiner	Art Unit				
The MAILING DATE of this commission is	Dr. Kelechi C. Egwim	1713				
The MAILING DATE of this communication appeared for Reply	ears on the cover sheet with the c	correspondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	86(a). In no event, however, may a reply be time within the statutory minimum of thirty (30) day; fill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D. (35.U.S.C. 6.133)				
Status						
1)⊠ Responsive to communication(s) filed on 17 Se	entember 2003					
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closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4)⊠ Claim(s) <u>24-34</u> is/are pending in the application						
	4a) Of the above claim(s) is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>24-34</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or	election requirement.					
Application Papers						
9) The specification is objected to by the Examiner	,					
10) The drawing(s) filed on is/are: a) acce		- - - - - -				
Applicant may not request that any objection to the d						
Replacement drawing sheet(s) including the correction						
11)☐ The oath or declaration is objected to by the Exa						
Priority under 35 U.S.C. § 119	•					
12) ☐ Acknowledgment is made of a claim for foreign p  a) ☐ All b) ☐ Some * c) ☐ None of:	priority under 35 U.S.C. § 119(a)	-(d) or (f).				
1. Certified copies of the priority documents	have been received					
2. Certified copies of the priority documents		on No				
3.☐ Copies of the certified copies of the priorit						
application from the International Bureau	(PCT Rule 17.2(a)).					
* See the attached detailed Office action for a list o		d.				
	. 0	·				
Attachment(s)						
1) X Notice of References Cited (PTO-892)	4) Interview Summary (	(PTO 413)				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Dat	te				
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date <u>122203</u> .	5) Notice of Informal Pa	atent Application (PTO-152)				
S Patent and Trademark Office	6)					

#### **DETAILED ACTION**

### Claim Objections

1. The numbering of claims is not in accordance with 37 CFR 1.126 which requires the original numbering of the claims to be preserved throughout the prosecution. When claims are canceled, the remaining claims must not be renumbered. When new claims are presented, they must be numbered consecutively beginning with the number next following the highest numbered claims previously presented (whether entered or not). Prior to the amendment, the highest numbered claim previously presented was claim 23.

Claims 1-23 have been cancelled and misnumbered claims 37-47 have been renumbered 24-34.

# Claim Rejections - 35 USC § 112

- The following is a quotation of the second paragraph of 35 U.S.C. 112:
   The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. Claims 26 and 28 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The term "at least than 75 mg/m³" in these claims render the claims indefinite. It is unclear what applicant means by "at least than".

# Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 30-32 are rejected under 35 U.S.C. 102(b) as being anticipated by Williams (USPN 4,454,267), Struss et al. (USPN 4,686,253), Patel (USPN 5,653,797) or Smith et al. (USPN 4,286,995).

In col. 2, lines 3-6, col. 3, lines 48-50 and Table I, Williams teaches preparing compositions of joint compounds, which invariably contain a filler and a binder (see col. 1, lines 40-47), by admixing in (including through/uniformly mixing with a Hobart N-50 mixer in examples 1-6) at least one dust reducing additive (as defined in page 4, line 31 to page 5, line 4 and page 6, lines 7-11 of the present specifications), such as wetting agents (surfactant) and water (solvent), wherein the joint compounds are applied to the substrate (a drywall/wallboard joint), allowed to dry and subsequently sanded (see col. 2, lines 27-30).

In col. 3, lines 11-15 and 40-47 and table I, Struss et al. teach processes of preparing joint compounds, essentially containing a filler and a binder, by admixing in at least one dust reducing additives such as wetting agents (surfactant) and water (solvent), wherein the joint compounds are applied to the substrate (a drywall/wallboard joint), allowed to dry, and subsequently sanded (see col. 8, lines 52-55).

Application/Control Number: 10/664,142

Art Unit: 1713

In table IV, Struss et al. also teaches the joint compounds to be prepared with the further addition of aminosiloxane compounds, such as SILWET ® L-77 (See col. 6, lines 64-66), which are surface-active compounds (polymeric **surfactants**).

In col. 7, lines 12-15 and Tables I and II, Patel teaches processes of preparing joint compounds, containing fillers and a binders, by admixing in dust-reducing additives as defined in the present specifications (see page 4, line 31 to page 5, lines 4 and page 6, lines 7-11) including water (solvent), wetting agents (surfactants) and a defoamer such as Nopco 9201 (see Table IVA), which according to Smith et al. (see col. 2, line16 of Smith et al.), is a vegetable oil compound.

In col. 13, lines 60-67, Patel teaches the joint compounds to be applied to substrate such as wallboard joints and subsequently finished (surface abraded) with reduced time and expense.

In the table in col. 2, Smith et al. teaches a joint compound prepared by admixing water (a solvent), 59% of a limestone (filler), 3.20% of a binder comprising a latex (which necessarily comprises water and a surfactant), propylene glycol (a glycol solvent), a vegetable oil defoamer, etc.

# Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Application/Control Number: 10/664,142
Art Unit: 1713

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

7. Claims 25-29, 33 and 34 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, 35 U.S.C. 103(a) as being unpatentable over Williams or Struss et al.

While Williams or Struss et al., above, do not expressly teach the recited reduced dust generation and/or dust generation properties, it is reasonable that their joints compounds would possess the presently claimed dust reducing properties since the "dust reducing additives", as defined by applicant (see 4, line 31 to page 5, lines 4 and page 6, lines 7-11 including even materials not fitting into any of the recited categories), are contained/admixed and treated as presently claimed in the prior art joint compounds and therefore the joint compounds are essentially the same as the joint compounds of applicant's claimed processes. In any event, an otherwise old composition is not patentable regardless of any new or unexpected properties. In re Fitzgerald et al., 619 F.2d 67, 205 USPQ 594 (CCPA 1980). See MPEP § 2112 - § 2112.02.

Further, even if assuming that the prior art processes do not meet the requirements of 35 U.S.C. 102, it would still have been obvious to one of ordinary skill in the art, at the time the invention was made, to arrive at the same inventive process because the disclosure of the inventive subject matter appears within the generic disclosure of Williams or Struss et al.

Application/Control Number: 10/664,142

Art Unit: 1713

8. Claims 24-29 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, 35 U.S.C. 103(a) as being unpatentable over Patel or Smith et al.

While Patel or Smith et al., above, do not expressly teach the recited reduced dust generation and/or dust generation properties, it is reasonable that the joint compounds of Patel or Smith et al. would possess the presently claimed dust reducing properties since the "dust reducing additives", as defined by applicant (see 4, line 31 to page 5, lines 4 and page 6, lines 7-11 including even materials not fitting into any of the recited categories), are contained/admixed in the prior art joint compounds and therefore the joint compounds are essentially the same as the joint compounds of applicant's claimed processes and the USPTO does not have at its disposal the tools or facilities deemed necessary to make physical determinations of the sort. In any event, an otherwise old composition is not patentable regardless of any new or unexpected properties. In re Fitzgerald et al., 619 F.2d 67, 205 USPQ 594 (CCPA 1980). See MPEP § 2112 - § 2112.02.

Further, even if assuming that the prior art references do not meet the requirements of 35 U.S.C. 102, it would still have been obvious to one of ordinary skill in the art, at the time the invention was made, to arrive at the same inventive process because the disclosure of the inventive subject matter appears within the generic disclosure of the prior art.

9. This is a continuation of applicant's earlier Application No. 09/781,386. All claims are drawn to the same invention claimed in the earlier application and could have been

Application/Control Number: 10/664,142

Art Unit: 1713

finally rejected on the grounds and art of record in the next Office action if they had been entered in the earlier application. Accordingly, **THIS ACTION IS MADE FINAL** even though it is a first action in this case. See MPEP § 706.07(b). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no, however, event will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dr. Kelechi C. Egwim whose telephone number is (571) 272-1099. The examiner can normally be reached on M-T (7:30-6:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wu can be reached on (571) 272-1114. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 1713

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

**KCE** 

KELECHI C. EGWIM PH.D.